

# Parametric Study of an Early Stage of Alloy Solidification

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## Abstract

In the early stage of alloy solidification, the thicknesses of the solidified and mushy layers are theoretically and numerically investigated in this paper. The system under consideration is one dimensional and transient. The energy equations for four separate regions, i.e., wall, solid, mushy, and liquid regions, are formulated. A supplement equation, which relates the local temperature and the solid fraction, is included to close the governing system. Similarity variables are introduced to transform the governing equations to a set of ordinary differential equations. A combination of the fourth-order Runge-Kutta and the Secant iterative technique is employed to obtain the solutions. The effects of six dimensionless controlling parameters on the thicknesses of the solidified and mushy layers, written in terms of the solidification constants, are examined.

**Keywords:** alloy solidification, heat transfer, numerical method, parameter

## 1. Introduction

Many mathematical models for alloy solidification have been developed for the past decades by many researchers to predict the behavior of alloy solidification. It was found that these models were derived by either the mixture theory [1,2] or the volume-average approach [3]. However, the difficulty of how to develop these mathematical models originated from the complexity of the mushy region where the solid and liquid can coexist in equilibrium over a range of temperature. The characteristic length scale of the solid structure surrounded by the liquid phase (i.e., dendrite) is on the order of  $10^{-5}$  to  $10^{-4}$  m. As a result, utilizing the direct numerical simulation (DNS) by refining enough number of grids to obtain the microscopic details, is not plausible. Thus, an averaging procedure must be applied to the governing equation, leading to unknown quantities similar to the average Navier-Stokes equation for the turbulent flow. Each unknown quantity requires a separate model, such as the expression of the solid fraction [4], the viscosity model [5], the expression for the interfacial terms [3], and more sophisticated microscopic models [6].

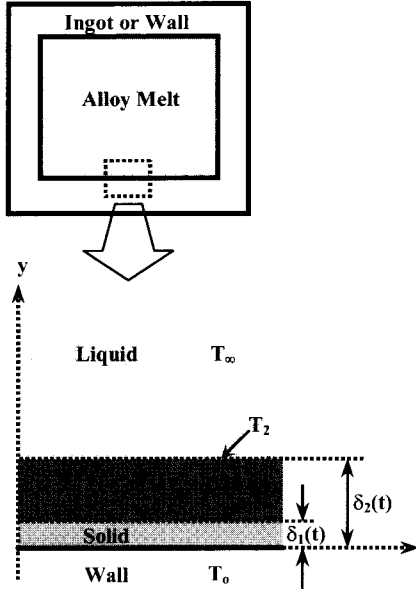
The mathematical models developed by the volume-average approach can be solved by

finite-element/volume methods. However, in the early stage of solidification where the solidified layer is thin compared to the wall thickness, the governing system can be transformed to a set of ordinary differential equations (ODE) by the similarity method [7]. Under this asymptotic condition, the thicknesses of the solidified layer and the liquidus isotherm are proportional to the square root of immersion time. The constants of the proportionality are referred to as the solidification constants, which can be determined by the combination of the fourth-order Runge-Kutta and the Secant iterative techniques. The effects of the dimensionless controlling parameters, appearing in the governing system, on the solidification constants are determined.

## 2. Problem Formulation

Figure 1 depicts the system under consideration in the present study.  $T_0$ ,  $T_1$ ,  $T_2$ , and  $T_\infty$  represent the initial wall temperature, the solidus temperature, the liquidus temperature, and the ambient melt temperature, respectively (i.e.,  $T_0 < T_1 < T_2 < T_\infty$ ).  $\delta_1$  is the thickness of the solidified layer, and  $\delta_2$  is the location corresponding to the liquidus isotherm.  $\delta_1$  and  $\delta_2$  are also referred to as the solidus and liquidus

lines, respectively. The region bound between  $\delta_1$  and  $\delta_2$  is the mushy region, where the solid fraction,  $\varepsilon$ , is equal to unity at  $y = \delta_1$  and decreases to zero at  $y = \delta_2$ . Thus,  $\delta_2 - \delta_1$  represents the thickness of the mushy region.



**Figure 1:** Schematic of the System under Consideration

To formulate the governing equations, major assumptions are made as follows: [1] The system is one dimensional and transient. [2] In the early stage of solidification,  $\delta_1$  and  $\delta_2$  are relatively thin compared to the wall thickness. Thus, the wall is assumed semi-infinite. [3] Physical properties of each phase are assumed constant whereas the physical properties of the mushy region can be determined by taking an average of each individual phase. However, the effects of the changes of the density and the specific heat within the mushy region are small compared to that of the thermal conductivity [8]. Therefore, the density and the specific heat of the solid, liquid, and mushy regions are treated as identical. On the other hand, the thermal conductivity of the mushy region is defined by taking an average of the thermal conductivity of each individual phase [1]:

$$k_m = (1 - \varepsilon) k_l + \varepsilon k_s \quad (1)$$

[4] Convection in the liquid and mushy regions is negligible. [5] Local thermodynamic equilibrium exists. Hence, the solid fraction can be directly determined from the equilibrium phase diagram. [6] The concentration of the mixture does not change during the solidification process.

With these assumptions, the governing system can be written as follows:

(i) Wall region:

$$\rho c_p \frac{\partial T_w}{\partial t} = k_w \frac{\partial^2 T_w}{\partial y^2} \quad (2)$$

$$t = 0: T_w = T_0 \quad (3-a)$$

$$y = 0: T_w = T_s \text{ and } k_w \frac{\partial T_w}{\partial y} = k_s \frac{\partial T_s}{\partial y} \quad (3-b)$$

$$y \rightarrow -\infty: T_w = T_0 \quad (3-c)$$

(ii) Solid region:

$$\rho c_p \frac{\partial T_s}{\partial t} = k_s \frac{\partial^2 T_s}{\partial y^2} \quad (4)$$

$$t = 0: \delta_1 = 0 \quad (5-a)$$

$$y = 0: T_s = T_w \text{ and } k_s \frac{\partial T_s}{\partial y} = k_w \frac{\partial T_w}{\partial y} \quad (5-b)$$

$$y = \delta_1: T_s = T_1 \text{ and } \frac{\partial T_s}{\partial y} = \frac{\partial T_m}{\partial y} \quad (5-c)$$

(iii) Mushy region:

$$\rho c_p \frac{\partial T_m}{\partial t} = \frac{\partial}{\partial y} \left( k_m \frac{\partial T_m}{\partial y} \right) + \rho \Delta H \frac{\partial \varepsilon}{\partial t} \quad (6)$$

$$t = 0: \delta_2 = 0 \quad (7-a)$$

$$y = \delta_1: T_m = T_1 \text{ and } \frac{\partial T_m}{\partial y} = \frac{\partial T_s}{\partial y} \quad (7-b)$$

$$y = \delta_2: T_m = T_2 \text{ and } \frac{\partial T_m}{\partial y} = \frac{\partial T_l}{\partial y} \quad (7-c)$$

(iv) Liquid region:

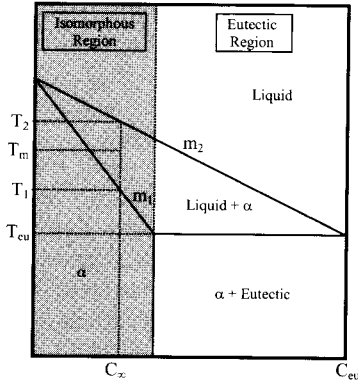
$$\rho c_p \frac{\partial T_l}{\partial t} = k_l \frac{\partial^2 T_l}{\partial y^2} \quad (8)$$

$$t = 0: T_l = T_\infty \quad (9-a)$$

$$y = \delta_2: T_l = T_2, \text{ and } \frac{\partial T_l}{\partial y} = \frac{\partial T_m}{\partial y} \quad (9-b)$$

$$y \rightarrow \infty: T_l = T_\infty \quad (9-c)$$

It can be seen that the temperatures of each region are the primary unknowns of the governing system. However, the solid fraction must be written as a function of the local temperature. In order to do so, the equilibrium phase diagram as shown in Figure 2 is included to close the governing system.



**Figure 2:** Equilibrium Phase Diagram

In this study, the category of alloy solidification is limited to the isomorphous system where both constituents of the binary alloy are completely miscible in both liquid and solid phases. As depicted in Figure 2, the isomorphous region is presented by the shaded area. For simplicity, the liquidus and solidus lines are assumed to be straight lines [9]. Thus, the relation between the solid fraction and the local temperature is given by

$$\varepsilon = \frac{T_2 - T_m}{(T_2 - T_m) + \kappa (T_m - T_1)} \quad (10)$$

Note that the above expression can be derived by applying the lever rule to the equilibrium phase diagram.

### 3. Mathematical Analysis

To perform a similarity transformation, similarity variables are introduced:

(i) Wall region:

$$\begin{aligned} \eta_w &= \frac{y}{\sqrt{\alpha_w t}} ; \quad -\infty \leq \eta_w \leq 0 \\ \theta_w &= \frac{T_w - T_o}{T_1 - T_o} ; \quad 0 \leq \theta_w \leq \theta_w(0) \end{aligned} \quad (11)$$

(ii) Solid region:

$$\begin{aligned} \eta_s &= \frac{y}{\delta_1} ; \quad 0 \leq \eta_s \leq 1 \\ \theta_s &= \frac{T_s - T_o}{T_1 - T_o} ; \quad \theta_w(0) = \theta_s(0) \leq \theta_s \leq 1 \end{aligned} \quad (12)$$

(iii) Mushy region:

$$\begin{aligned} \eta_m &= 1 + \frac{y - \delta_1}{\delta_2 - \delta_1} ; \quad 1 \leq \eta_m \leq 2 \\ \theta_m &= 1 + \frac{T_m - T_1}{T_2 - T_1} ; \quad 1 \leq \theta_m \leq 2 \end{aligned} \quad (13)$$

(iv) Liquid region:

$$\begin{aligned} \eta_l &= 1 + \frac{y}{\delta_2} ; \quad 2 \leq \eta_l \leq \infty \\ \theta_l &= 2 + \frac{T_l - T_2}{T_\infty - T_2} ; \quad 2 \leq \theta_l \leq 3 \end{aligned} \quad (14)$$

The solidus and liquidus lines ( $\delta_1$  and  $\delta_2$ ) can be written in terms of  $\sigma_1$  and  $\sigma_2$  or the solidus and liquidus constants, respectively, as follows:

$$\delta_1 = \sigma_1 \sqrt{\alpha_s t} \quad (15)$$

$$\delta_2 = \sigma_2 \sqrt{\alpha_s t} \quad (16)$$

Substituting the similarity variables from equations (11)-(16) into equations (2)-(9) gives:

(i) Wall region:

$$\theta_w'' + \frac{\eta_w}{2} \theta_w' = 0 \quad (17)$$

$$\eta_w = 0 : \theta_w = \theta_s \quad \text{and} \quad \theta_w' = \frac{R_{th}}{\sigma_1} \theta_s' \quad (18-a)$$

$$\eta_w \rightarrow -\infty : \theta_w = 0 \quad (18-b)$$

(ii) Solid region:

$$\theta_s'' + \frac{\sigma_1^2 \eta_s}{2} \theta_s' = 0 \quad (19)$$

$$\eta_s = 0 : \theta_s = \theta_w \quad \text{and} \quad \theta_s' = \frac{\sigma_1}{R_{th}} \theta_w' \quad (20-a)$$

$$\eta_s = 1 : \theta_s = 1 \quad \text{and} \quad \theta_s' = \frac{\sigma_1}{\sigma_2 - \sigma_1} \frac{1}{R_{sub}} \theta_m' \quad (20-b)$$

(iii) Mushy region:

$$\begin{aligned} \theta_m'' + \left( \frac{R_k - 1}{R_k \varepsilon + (1 - \varepsilon)} \right) \left( \frac{\kappa}{\text{Ste}[(2 - \theta_m) + \kappa(\theta_m - 1)]^2} \right) (\theta_m')^2 \\ + \left( \frac{R_k}{R_k \varepsilon + (1 - \varepsilon)} \right) \left( 1 + \frac{\kappa}{\text{Ste}[(2 - \theta_m) + \kappa(\theta_m - 1)]^2} \right) \\ \times \left( \frac{(\sigma_2 - \sigma_1)^2}{2} \right) \left( \eta_m - 1 + \frac{\sigma_1}{\sigma_2 - \sigma_1} \right) \theta_m' = 0 \end{aligned} \quad (21)$$

$$\eta_m = 1: \theta_m = 1 \text{ and } \theta_m' = \frac{\sigma_2 - \sigma_1}{\sigma_1} R_{\text{sub}} \theta_s' \quad (22\text{-a})$$

$$\eta_m = 2: \theta_m = 2 \text{ and } \theta_m' = \frac{\sigma_2 - \sigma_1}{\sigma_2} R_{\text{sup}} \theta_\ell' \quad (22\text{-b})$$

(iv) Liquid region

$$\theta_\ell'' + R_k \frac{\sigma_2^2}{2} (\eta_\ell - 1) \theta_\ell' = 0 \quad (23)$$

$$\eta_\ell = 2: \theta_\ell = 2 \text{ and } \theta_\ell' = \frac{\sigma_2}{\sigma_2 - \sigma_1} \frac{1}{R_{\text{sup}}} \theta_m' \quad (24\text{-a})$$

$$\eta_\ell \rightarrow \infty: \theta_\ell = 3 \quad (24\text{-b})$$

The supplementary equation (10) can be written as a function of the dimensionless temperature as follows:

$$\varepsilon = \frac{2 - \theta_m}{(2 - \theta_m) + \kappa(\theta_m - 1)} \quad (25)$$

It can be seen that the original partial differential equations are transformed to a set of ordinary differential equations. Six dimensionless parameters appearing in equations (17)-(25), namely the controlling parameters, are the solid-to-wall thermal ratio  $R_{\text{th}}$ , the solid-to-liquid thermal conductivity ratio  $R_k$ , the wall subcooling parameter  $R_{\text{sub}}$ , the liquid superheating parameter  $R_{\text{sup}}$ , the Stefan number  $\text{Ste}$ , and the equilibrium partition ratio  $\kappa$ . These controlling parameters are defined as:

$$R_{\text{th}} = \sqrt{\frac{k_s \rho c_p}{k_w \rho_w c_{pw}}}, R_k = \frac{k_s}{k_\ell}, R_{\text{sub}} = \frac{T_1 - T_o}{T_2 - T_1}, \quad (26)$$

$$R_{\text{sup}} = \frac{T_\infty - T_2}{T_2 - T_1}, \text{Ste} = \frac{c_p(T_2 - T_1)}{\Delta H}, \kappa = \frac{m_2}{m_1}$$

#### 4. Numerical Solution Procedure

The temperature distribution of the wall and solid regions can be determined by direct integration of equations (17) and (19). The analytical solutions are given by:

$$\theta_w = \frac{R_{\text{th}}}{R_{\text{th}} + \text{erf}(\sigma_1/2)} \left[ 1 + \text{erf}\left(\frac{\eta_w}{2}\right) \right] \quad (27)$$

$$\theta_s = \frac{R_{\text{th}} + \text{erf}\left(\frac{\sigma_1 \eta_s}{2}\right)}{R_{\text{th}} + \text{erf}(\sigma_1/2)} \quad (28)$$

On the other hand, the temperature distribution of the mushy and liquid regions can be obtained numerically. The classical fourth-order Runge-Kutta method is selected to solve these two ordinary differential equations. The initial conditions are the values of  $\theta_m$  and  $\theta_m'$  at  $\eta_m = 1$ , which are given by equation (22-a). By utilizing the analytical solution of  $\theta_s$ , i.e., equation (28), the value of  $\theta_m'$  at  $\eta_m = 1$  is:

$$\theta_m'(1) = \frac{(\sigma_2 - \sigma_1) R_{\text{sub}}}{\sqrt{\pi}} \left[ \frac{\exp(-\sigma_1^2/4)}{R_{\text{th}} + \text{erf}(\sigma_1/2)} \right] \quad (29)$$

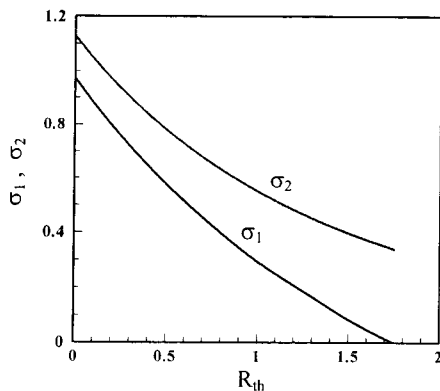
It should be noted that most of the transformed ordinary differential equations and associated boundary conditions in the mushy and liquid regions are functions of  $\sigma_1$  and  $\sigma_2$ , which are unknowns. Thus, the numerical algorithm cannot be performed unless  $\sigma_1$  and  $\sigma_2$  have been determined. To eliminate this difficulty, the values of  $\sigma_1$  and  $\sigma_2$  are estimated first. The Secant iterative technique is applied to update  $\sigma_1$  and  $\sigma_2$  until these two values match the boundary conditions, i.e.,  $\theta_m(2) = 2$  and  $\theta_m(\infty) = 3$ . The solution is shown to converge if the differences between the values of  $\sigma_1$  and  $\sigma_2$  and the matching conditions are less than a prescribed tolerance of  $10^{-8}$ . In addition, the grid-independent study of the numerical algorithm has already been examined in Reference [7].

#### 5. Results and Discussion

In this study, the effects of the controlling parameters on  $\sigma_1$  and  $\sigma_2$  are determined. A set of the controlling parameters are selected as a reference case, which are  $R_{\text{th}} = 0.3$ ,  $R_k = 1$ ,  $R_{\text{sub}} = 1$ ,  $R_{\text{sup}} = 3$ ,  $\text{Ste} = 0.1$ , and  $\kappa = 0.3$ . These

numbers are based on the physical properties of the Pb-10% wt Sn alloy and the temperature data in a round-off version [7]. In practice,  $R_{sub}$  and  $R_{sup}$  can be controlled by adjusting the initial wall temperature,  $T_0$  and the ambient melt temperature,  $T_\infty$ , respectively. On the other hand, the rest of the controlling parameters, i.e.,  $R_{th}$ ,  $R_k$ ,  $Ste$ , and  $\kappa$ , depend on selection of the wall and the freezing materials. The numerical experiments are performed by varying one of the controlling parameters whereas the others are kept constant at the reference case.

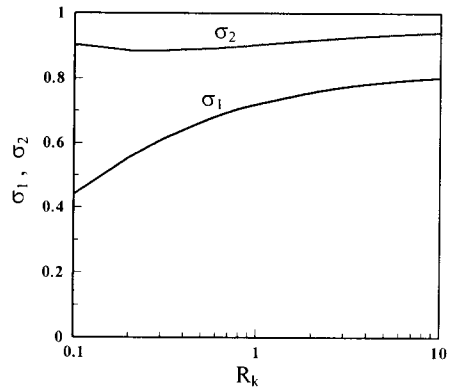
Figure 3 depicts the variations of  $\sigma_1$  and  $\sigma_2$  with  $R_{th}$ . As  $R_{th}$  is decreased,  $\sigma_1$  and  $\sigma_2$  increase because of the higher heat capacity of the wall, resulting in a higher cooling effect from the wall to the melt. Unlike the values of  $\sigma_1$  and  $\sigma_2$ , the thickness of the mushy region (i.e.,  $\sigma_2 - \sigma_1$ ) is not a strong function of  $R_{th}$ . As  $R_{th}$  asymptotically approaches zero, the wall becomes isothermal with infinite heat capacity, which provides the highest cooling effect. On the other hand, when  $R_{th}$  is increased to a certain limit, which is approximately equal to 1.75, the wall heat capacity is not enough to generate the solidified layer on its surface. Thus,  $\sigma_1$  approaches zero.



**Figure 3:** Variations of  $\sigma_1$  and  $\sigma_2$  with  $R_{th}$

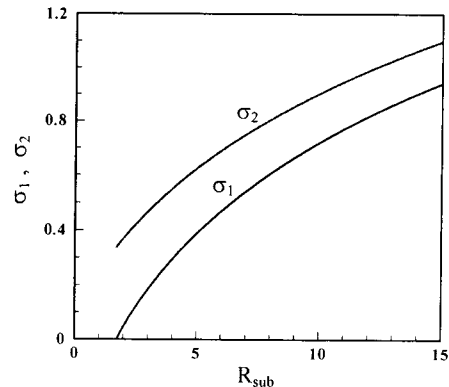
The effect of  $R_k$  on  $\sigma_1$  and  $\sigma_2$  is presented in Figure 4.  $\sigma_1$  increases with increasing  $R_k$ . It is known that the higher value of the thermal conductivity of the solid phase, the faster the cooling effect can be absorbed by the melt, leading to the thicker solidified layer. On the other hand,  $\sigma_2$  appears to be marginally sensitive to the change of  $R_k$ . As a result, the thickness of

the mushy region,  $\sigma_2 - \sigma_1$  decreases as  $R_k$  is increased.



**Figure 4:** Variations of  $\sigma_1$  and  $\sigma_2$  with  $R_k$

The variations of  $\sigma_1$  and  $\sigma_2$  with  $R_{sub}$  are illustrated in Figure 5. It can be seen that  $\sigma_1$  and  $\sigma_2$  increase with increasing  $R_{sub}$ . As expected, an increase of  $R_{sub}$  corresponds to lower values of  $T_0$ , causing higher values of  $\sigma_1$  and  $\sigma_2$ . However,  $\sigma_2 - \sigma_1$  is a weak function of  $R_{sub}$ . As  $R_{sub}$  decreases toward 2.7,  $\sigma_1$  decreases to zero due to the insufficient degree of wall subcooling.



**Figure 5:** Variations of  $\sigma_1$  and  $\sigma_2$  with  $R_{sub}$

Figure 6 depicts the effect of  $R_{sup}$  on  $\sigma_1$  and  $\sigma_2$ . As  $R_{sup}$  is increased,  $\sigma_1$  and  $\sigma_2$  decrease. Physically, more heat is conducted from the melt to the wall as the ambient wall temperature ( $T_\infty$ ) is higher. It is noticed that the value of  $\sigma_1$  reduces to zero if  $R_{sup}$  is raised to a certain limit, which is approximately equal to 30, because of the excess value of  $T_\infty$ . If  $R_{sup}$  asymptotically

approaches zero corresponding to the solidification at the liquidus temperature,  $\sigma_1$  reaches the upper limit whereas  $\sigma_2$  monotonically increases. Note that unlike  $R_{sub}$ ,  $\sigma_2 - \sigma_1$  is a strong function of  $R_{sup}$  especially when  $R_{sup} \ll 1$ .

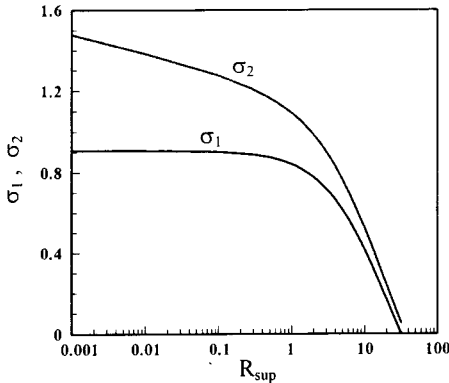


Figure 6: Variations of  $\sigma_1$  and  $\sigma_2$  with  $R_{sup}$

The effect of  $Ste$  on  $\sigma_2 - \sigma_1$  is presented in Figure 7. Increasing  $Ste$  causes  $\sigma_1$  and  $\sigma_2$  to increase. A material with higher  $Ste$  has a smaller latent heat of fusion. Hence, under the same cooling condition, it will require less cooling effect from the wall in order to form a thicker solidified layer (or higher  $\sigma_1$ ). It is found that  $\sigma_2 - \sigma_1$  is not sensitive to the change of  $Ste$ .

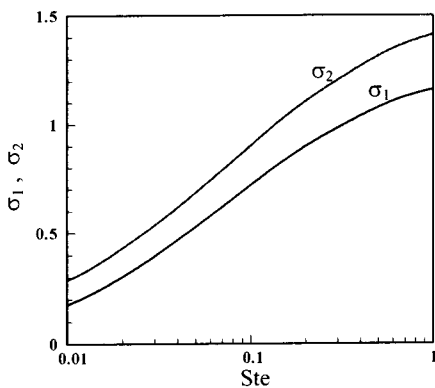


Figure 7: Variations of  $\sigma_1$  and  $\sigma_2$  with  $Ste$

Figure 8 depicts the variations of  $\sigma_1$  and  $\sigma_2$  with  $\kappa$ . It can be seen that both  $\sigma_1$  and  $\sigma_2$  are weak functions of  $\kappa$ , especially for  $\sigma_1$ . By

increasing  $\kappa$  from 0.1 to 0.7, which is the most common value of  $\kappa$  for a binary alloy, the values of  $\sigma_1$  and  $\sigma_2$  increase by 1.1 and 6.4 percent, respectively. Similar to  $\sigma_1$  and  $\sigma_2$ ,  $\sigma_2 - \sigma_1$  is also a very weak function of  $\kappa$ .

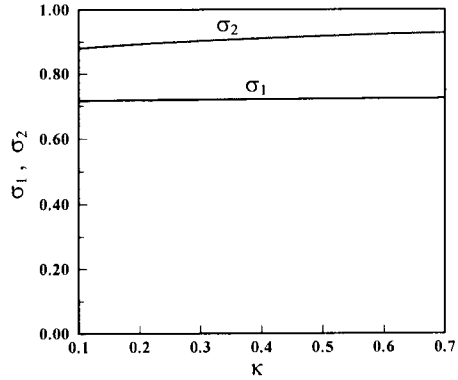


Figure 8: Variations of  $\sigma_1$  and  $\sigma_2$  with  $\kappa$

## 6. Conclusions

In this present study, a parametric study of an early stage of alloy solidification has been performed. After utilizing the similarity transformation, the behavior of an early stage of the alloy solidification is characterized by the solidification constants,  $\sigma_1$  and  $\sigma_2$ , which are functions of six dimensionless controlling parameters. The numerical results have been obtained by a combination of the fourth-order Runge-Kutta method and the Secant iterative technique. Based on the numerical results, the solidified layer, i.e.,  $\sigma_1$ , increases as either  $R_{sub}$ ,  $R_k$ , or  $Ste$  is increased, but it decreases as either  $R_{th}$  or  $R_{sup}$  is increased. However, it appears to be insensitive to the change of  $\kappa$ . On the other hand, the thickness of the mushy region, i.e.,  $\sigma_2 - \sigma_1$ , decreases as either  $R_k$  or  $R_{sup}$  is increased. It is a weak function of either  $R_{th}$ ,  $R_{sub}$ , or  $Ste$  and insensitive to the change of  $\kappa$ .

## 7. Nomenclature

(i) Symbols

$c_p$  = specific heat [J/kg-K]

$\Delta H$  = latent heat of freezing [J/kg]

$k$  = thermal conductivity [W/m-K]

$m$  = slope of a line in an equilibrium phase diagram

$R_k$  = solid-to-liquid thermal conductivity ratio

$R_{sub}$  = wall subcooling parameter  
 $R_{sup}$  = liquid superheating parameter  
 $R_{th}$  = solid-to-wall thermal ratio  
 $Ste$  = Stefan number  
 $t$  = time [s]  
 $T$  = temperature [K]  
 $y$  = spatial coordinate [m]

(ii) Greek Symbols

$\alpha$  = thermal diffusivity [ $m^2/s$ ]  
 $\delta$  = thickness [m]  
 $\epsilon$  = solid fraction  
 $\eta$  = similarity independent variable  
 $\kappa$  = equilibrium partition ratio  
 $\rho$  = density [ $kg/m^3$ ]  
 $\sigma$  = solidification constant  
 $\theta$  = dimensionless temperature

(iii) Subscripts

$o$  = initial state  
 $1$  = solidus temperature or solidus line  
 $2$  = liquidus temperature or liquidus line  
 $l$  = liquid region  
 $m$  = mushy region  
 $s$  = solid region  
 $w$  = wall region  
 $\infty$  = ambient state

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